

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/854,816 | 05/15/2001 | Andrew C. Braisted | 9491-053-27 DIV |

CONFIRMATION NO. 1579

FORMALITIES LETTER



OC000000007014057

Supervisor, Patent Prosecution Services
PIPER MARBURY RUDNICK & WOLFE LLP
1200 Nineteenth Street, N.W.
Washington, DC 20036-2412

Date Mailed: 11/02/2001

NOTICE TO FILE CORRECTED APPLICATION PAPERS

Filing Date Granted

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09/854,816



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TELEPHONE: 202-861-3900
FACSIMILE: 202-223-2085

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03CO

DOCKET NO.: 9491-053-27 DIV

ATTENTION: APPLICATION BRANCH
THIS IS A RESPONSE TO A
NOTICE TO FILE CORRECTED APPLICATION PAPERS

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

Re: Inventors: ANDREW C. BRAISTED, ET AL.
Serial No: 09/854,816
Filed: MAY 15, 2001
For: CONSTRAINED HELICAL PEPTIDES AND METHODS OF MAKING SAME

SIR:

Attached hereto for filing are the following papers:

NOTICE TO FILE CORRECTED APPLICATION PAPERS (RETURN COPY)
RESPONSE TO NOTICE TO FILE CORRECTED APPLICATION PAPERS
FORMAL DRAWINGS (34 SHEETS)
PRELIMINARY AMENDMENT INCLUDING ATTACHMENT
REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT
OFFICIAL FILING RECEIPT (COPY)

Our check in the amount of \$ -0- is attached covering any required fees. In the event any variance exists between the amount enclosed and the Patent Office charges for filing the above-noted documents, including any fees required under 37 C.F.R.1.136 for any necessary extension of time to make the filing of the attached documents timely, please charge or credit the difference to Deposit Account No. 50-1442. Further, if these papers are not considered timely filed, then a request is hereby made under 37 C.F.R.1.136 for the necessary extension of time. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

PIPER MARBURY RUDNICK & WOLFE LLP

12-20-2001

Date

Laura D. Nammo

Steven B. Kelber
Registration No. 30,073
Attorney of Record

Laura D. Nammo
Registration No. 42,024



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TELEPHONE: 202-861-3900
FACSIMILE: 202-223-2085

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PIPER MARBURY RUDNICK & WOLFE LLP

12-20-2001

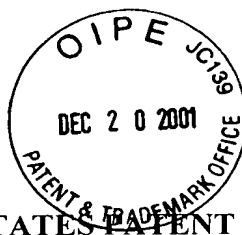
Date

Laura D. Nammo

Steven B. Kelber
Registration No. 30,073
Attorney of Record

Laura D. Nammo
Registration No. 42,024

DOCKET NO. 9491-053-27 DIV



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: ANDREW C. BRAISTED, ET AL. ART UNIT: 1614

SERIAL NO.: 09/854,816

EXAMINER: UNASSIGNED

FILING DATE: MAY 15, 2001

FOR: CONSTRAINED HELICAL PEPTIDES AND METHODS OF MAKING SAME

RESPONSE TO NOTICE TO FILE CORRECTED APPLICATION PAPERS

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

Responsive to the notification dated November 2, 2001, Applicants submit herewith **thirty-four** sheets of formal drawings (**Figures 1-23D**) to replace the full set of drawings as originally filed in the application. A Preliminary Amendment is attached hereto to correct the specification so that the descriptions of the figures numerically match the formal drawings. Applicants respectfully submit that no new matter has been added in either the drawings or the specification.

In light of the foregoing, this application is deemed to be in proper condition for examination and such favorable action is earnestly solicited.

Respectfully submitted,

PIPER MARBURY RUDNICK & WOLFE LLP

12-20-2001

Date

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DOCKET NO. 9491-053-27 DIV



#6

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: ANDREW C. BRAISTED, ET AL. ART UNIT: 1614

SERIAL NO.: 09/854,816

EXAMINER: UNASSIGNED

FILING DATE: MAY 15, 2001

FOR: CONSTRAINED HELICAL PEPTIDES AND METHODS OF MAKING SAME

REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

ATTENTION: Application Division
Customer Corrections

SIR:

The Patent Office is requested to provide a corrected Official Filing Receipt for the attached. The following correction(s) should be made to the Official Filing Receipt:

The title is incorrect and should read:

CONSTRAINED HELICAL PEPTIDES AND METHODS OF MAKING SAME

Thank you.

Respectfully submitted,

PIPER MARBURY RUDNICK & WOLFE LLP

12-20-2001

Date

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| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|--------------------|----------|------------|------------|
| 09/854,816 | 05/15/2001 | 1614 | 1078 | 9491-053-27 DIV | 31 | 16 | 4 |

Supervisor, Patent Prosecution Services
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Washington, DC 20036-2412



CONFIRMATION NO. 1579

FILING RECEIPT



OC000000007014056

Date Mailed: 11/02/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Andrew C. Braisted, San Francisco, CA;
J. Kevin Judice, San Francisco, CA;
Robert S. McDowell, San Francisco, CA;
J. Christopher Phelan, San Francisco, CA;
Melissa A. Starovasnik, Burlingame, CA;
James A. Wells, Burlingame, CA;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A DIV OF 08/965,056 11/05/1997 PAT 6,271,198
WHICH CLAIMS BENEFIT OF 60/049,787 06/16/1997
AND A CIP OF 08/876,698 06/16/1997 ABN
AND A CIP OF 08/743,698 11/06/1996 ABN

Foreign Applications

If Required, Foreign Filing License Granted 10/31/2001

Projected Publication Date: To Be Determined - pending completion of Corrected Papers

Non-Publication Request: No

Early Publication Request: No

✓ 14

Title

Constrained helical peptides and methods of making same

Preliminary Class

514

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).